

<<Firm Name>>

Second-Opinion Service   
*Exclusively for friends, family and associates of our valued clients*



In this challenging economy, you probably know a friend, family member or colleague who may be in a complex situation or is just unhappy with the advice from his or her financial advisor—it’s not uncommon. We believe that many high-net-worth families would value a second opinion on their finances.

To help the people you care about achieve their financial goals, we have created a complimentary second-opinion service. We’re pleased to offer your friends, family members and associates the same expertise and guidance that you have come to expect as a valued client of <<firm name>>.

**Working with a team that redefines wealth management**

Ask ten people to define wealth management. Actually, ask ten wealth managers to do so. You will almost certainly get ten different answers, with most heavily focused on investing. As a client of <<firm name>>, however, you benefit from a cutting-edge team that has a clear and comprehensive vision of wealth management.

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|  | **Wealth management** | | | | |  |
|  | **Investment consulting** | **+** | **Advanced planning** | **+** | **Relationship management** |  |
|  | * Asset allocation * Portfolio management * Manager due diligence * Risk evaluation * Performance analysis |  | * Wealth enhancement, including cash flow management and tax minimization * Wealth transfer * Wealth protection * Charitable giving |  | * Regularly scheduled calls, reviews and in-person meetings * Team of professionals, including legal, tax, insurance and investment advisors |  |
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Continues on reverse side.

**Our consultative process**

We approach each new engagement with our consultative process. This allows us to have an open dialogue in which we learn about every client’s values and goals while working with them to tailor a plan to help achieve them. As a valued client, you will recognize the five steps below as the process you have experienced with us. Through our second-opinion service, your friends, family members and associates can enjoy a portion of this experience at no cost.

**Full client experience**

Discovery  
Meeting

Investment  
Plan Meeting

Mutual  
Commitment  
Meeting

45-Day  
Follow-up  
Meeting

Regular  
Progress  
Meetings

**What to expect from our second-opinion service**

We will meet with your friends, family members and associates for a Discovery Meeting. Assuming that we both agree that we have a basis for working together, they will return for the Investment Plan Meeting. Hopefully, we can confirm they are on track to fulfill their values and achieve their goals with their current financial provider. If needed, we will suggest ways in which we can help, including recommending another provider if we are not a good fit for their needs. Either way, they will receive a Total Client Profile and a personalized analysis of their current situation.

**Second-opinion service**

Discovery  
Meeting

Investment  
Plan Meeting

**Let us help you help those you care about. Contact us today.**

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| **Your Firm Name Here**  0000 Your Street, Suite 00 City, STATE 12345  800-000-0000  email@YourEmailAddress.com  Your Name  Your Title  **www.YourWebsite.com** |  |

**Important information about advisory services**

This brochure does not constitute an offer to sell, a solicitation to buy, or a recommendation for any security, nor does it constitute an offer to provide investment advisory or other services. Nothing in the brochure constitutes or should be relied upon as investment advice.